

Qualification specification

**NCFE Level 3 Diploma in Skills for Business:
Finance**

QN: 601/2648/6

This qualification is now withdrawn

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Summary of changes

This section summarises the changes to this qualification specification since the last version.

Version	Publication date	Summary of amendments
v7.1	November 2019	Information regarding the wellbeing and safeguarding of learners added to Section 1 Information regarding the aggregation methods and grade thresholds added to Section 4
v7.2	December 2021	<u>Band descriptions</u> updated in Presenting Evidence.
v7.3	January 2022	Paragraph added in regarding external quality assurance for graded qualifications .
v7.4	June 2022	Information added to the entry guidance section to advise that registration is at the discretion of the centre, in accordance with equality legislation and should be made on the Portal. Information added to the support for centres section about how to access support handbooks . Further information added to section 3 to confirm that unless otherwise stated in this specification, all learners taking this qualification must be assessed in English and all assessment evidence presented for external quality assurance must be in English.

Section 1

Qualification overview

Qualification overview

Introduction

We want to make your experience of working with NCFE as pleasant and easy as possible. This Qualification Specification contains everything you need to know about this qualification and should be used by everyone involved in the planning, delivery and assessment of the Level 3 Diploma in Skills for Business: Finance.

All information contained in this specification is correct at the time of publishing.

To ensure that you're using the most up-to-date version of this Qualification Specification, please check the issue date in the page headers against that of the Qualification Specification on the NCFE website.

If you advertise this qualification using a different or shortened name, you must ensure that learners are aware that their final certificate will state the full regulated qualification title.

Things you need to know

Qualification number (QN)	601/2648/6
Aim reference	60126486
Total Qualification Time (TQT)	820
Guided Learning Hours (GLH)	545
Credit value	82
Level	3
Assessment requirements	Internally assessed and externally quality assured portfolio of evidence

Total Qualification Time (TQT)

Total Qualification Time is the number of notional hours which represents an estimate of the total amount of time that could reasonably be expected to be required in order for a learner to achieve and demonstrate the achievement of the level of attainment necessary for the award of a qualification.

Total Qualification Time comprises:

- the Guided Learning Hours for the qualification
- an estimate of the number of hours a learner will reasonably be likely to spend in preparation, study or any other form of participation in education or training, including assessment, which takes place as directed by – but not under the immediate guidance or supervision of – a lecturer, supervisor, Tutor or other appropriate provider of education or training.

Credit

The credit value is equal to the Total Qualification Time divided by ten, rounded to the nearest whole number.

About this qualification

This is a regulated qualification. The regulated number for this qualification is 601/2648/6.

This qualification forms part of a suite of qualifications which have been developed to form the substantial qualification element of Study Programmes, and meet the Teaching Agency's requirements for high-quality, rigorous qualifications in education.

This qualification may be eligible for funding. For further guidance on funding, please contact your local funding provider.

This qualification is suitable for use within a Study Programme.

UCAS points

This qualification has UCAS points as follows:

- Distinction*: 84
- Distinction: 72
- Merit: 48
- Pass: 24

Qualification purpose

This qualification provides transferable skills, knowledge and understanding that can be applied in any business setting. The units in Group A are aligned to National Occupational Standards for business and represent the core attributes required by employers in a range of business settings. Units in Group B specialise in finance, covering accounts preparation, indirect taxes, costs and revenues, and professional ethics.

This qualification will:

- focus on the study of the business environment
- offer breadth and depth of study, incorporating a key core of knowledge
- provide opportunities to acquire a number of practical and technical skills.

Qualification objectives

The objectives of this qualification are to provide learners with:

- an understanding of the essential skills required to work successfully in a business environment
- the skills required to solve problems in a business environment
- an understanding of business culture and responsibilities
- the ability to successfully communicate and work with others in a business environment
- the opportunity to develop the skills required to manage and improve their own performance in a business environment
- the skills and knowledge to carry out finance activities, including preparing final accounts for sole traders and partnerships, comparing and reporting actual costs with budgeted costs, and working with VAT.

Achieving this qualification

To be awarded the Level 3 Diploma in Skills for Business: Finance, learners are required to successfully complete 6 **graded** units from Group A and 4 units from Group B.

Group A

Unit No	Unit title	Graded
Unit 01	Business culture and responsibilities	Yes
Unit 02	Deliver customer service in a business environment	Yes
Unit 03	Produce documents in a business environment	Yes
Unit 04	Solve problems in a business environment	Yes
Unit 05	Work with others in a business environment	Yes
Unit 06	Communicate in a business environment	Yes
Unit 07	Contribute to running a project	Yes
Unit 08	Innovation in a business environment	Yes
Unit 09	Manage and improve own performance in a business environment	Yes
Unit 10	Respond to change in a business environment	Yes

Group B

Unit No	Unit title	Graded
Unit 11	Accounts preparation	No
Unit 12	Prepare final accounts for sole traders and partnerships	No
Unit 13	Costs and revenues	No
Unit 14	Indirect tax	No
Unit 15	Professional ethics	No

The learning outcomes for each unit are provided in Section 2 (page 15).

The units above may be available as stand-alone unit programmes. Please visit the NCFE website for further information.

To achieve the Level 3 Diploma in Skills for Business: Finance, learners must successfully demonstrate their achievement of all learning outcomes of the units as detailed in this Qualification Specification.

This qualification is graded. For further information about grading and submitting these grades to NCFE, please see Section 4 (page 97).

Learners who aren't successful can resubmit work within the registration period; however, a charge may apply. A Unit Certificate can be requested for learners who don't achieve their full qualification but have achieved at least one whole unit.

Essential skills

While completing this qualification, learners may develop the knowledge, understanding and essential skills employers look for in employees. These range from familiar 'key skills' such as team working, independent learning and problem-solving, to more tricky-to-measure skills such as:

- an appreciation for appropriate behaviour and dress
- appropriate interpersonal skills
- communicating with professional colleagues/peers and/or hierarchical seniors
- supporting other aspiring employees
- personal manners and deportment
- understanding work practices and how different roles and departments function within an organisation.

Recognition of Prior Learning (RPL)

Centres may recognise prior learning at their discretion if they are satisfied that the evidence provided meets the requirements of a qualification. Where RPL is to be used extensively (for a whole unit or more), advice must be given by a qualified RPL Advisor.

Credit transfer

Where a learner has already achieved a unit with credit, NCFE will recognise that prior learning and will allow the credit to be transferred onto a new qualification, provided that the units have the same Ofqual reference number.

Direct Claim Status

For more information about Direct Claim Status, please contact our Customer Support team on 0191 239 8000.

Qualifications and awards with simulation and assessment in a Realistic Work Environment (RWE)

Where the assessment guidance for a unit/qualification allows, it is essential that organisations wishing to operate a RWE do so in an environment which reflects a real work setting and replicates the key characteristics of the workplace in which the skill to be assessed is normally employed. This will ensure that any competence achieved in this way will be sustained in real employment.

Entry guidance

This qualification is designed for learners aged 16 and above and is suitable for use within a Study Programme.

There are no specific recommended prior learning requirements for this qualification. However, learners may find it helpful if they've already achieved a Level 2 qualification.

Entry is at the discretion of the centre. However, learners should be aged 16 or above to undertake this qualification.

Centres are responsible for ensuring that this qualification is appropriate for the age and ability of learners. They need to make sure that learners can fulfil the requirements of the learning outcomes and comply with the relevant literacy, numeracy and health and safety aspects of this qualification.

Learners registered on this qualification shouldn't undertake another qualification at the same level with the same or a similar title, as duplication of learning may affect funding eligibility.

Registration is at the discretion of the centre, in accordance with equality legislation, and should be made on the Portal.

Progression opportunities

Learners who achieve this qualification could progress to:

- Level 4 Diploma in Business and Administration
- Level 4 NVQ Certificate in Business and Administration
- Level 4 NVQ Diploma in Business and Administration
- Level 4 finance or accounting related qualification.

Progression to Higher Level Studies

This qualification aims to provide learners with a number of progression options, including higher level studies at university or FE colleges. The skills required to progress to higher academic studies are different from those required at Levels 1 and 2. Level 3 qualifications enable the development of these skills. Although there is no single definition of higher level learning skills, they include:

- checking and testing information
- supporting your points with evidence
- self-directed study
- self-motivation
- thinking for yourself
- analysing and synthesising information/materials
- critical thinking and problem-solving
- working collaboratively
- reflecting upon learning and identifying improvements.

Level 3 criteria can require learners to **analyse**, **draw conclusions**, **interpret** or **justify**, which are all examples of higher level skills. This means that evidence provided for the portfolio will also demonstrate the development and use of higher level learning skills.

Withdrawn

Qualification dates

Regulated qualifications have operational end dates and certification end dates.

We review qualifications regularly, working with sector representatives, vocational experts and stakeholders to make any changes necessary to meet sector needs and to reflect recent developments.

If a decision is made to withdraw a qualification, we will set an operational end date and provide reasonable notice to our centres. We will also take all reasonable steps to protect the interest of learners.

An operational end date will only show on the Ofqual Register of Regulated Qualifications register.ofqual.gov.uk and on our website if a decision has been made to withdraw a qualification. After this date, we can no longer accept learner registrations. However, certification is allowed until the certification end date so that learners have time to complete any programmes of study. The certification end date will only show on the Ofqual Register once an operational end date has been set. After this date, we can no longer process certification claims.

Staffing requirements

Centres delivering any of NCFE's qualifications must:

- have a sufficient number of appropriately qualified/experienced Assessors to assess the volume of learners they intend to register
- have a sufficient number of appropriately qualified/experienced Internal Quality Assurers to internally quality assure the anticipated number of Assessors and learners
- ensure that all staff involved in assessment and internal quality assurance are provided with appropriate training and undertake meaningful and relevant continuing professional development
- implement effective internal quality assurance systems and processes to ensure all assessment decisions are reliable, valid, authentic, sufficient and current. This should include standardisation to ensure consistency of assessment
- provide all staff involved in the assessment process with sufficient time and resources to carry out their roles effectively.

Examples of relevant qualifications

- Degree in business or business related subject
- Level 4 NVQ Diploma in Business and Administration
- Level 4 NVQ Diploma in Management
- Level 4 finance or accounting related qualification.

Examples of work experience

- Current or recent work experience in business management including experience in a range of disciplines, eg Sales and Marketing, Finance, IT, etc
- Teaching specialist in business or finance and/or accounting discipline
- Current or recent experience of working in a finance and/or accounting role.

Assessors and internal quality assurance

Staff involved in the assessment and internal quality assurance of this qualification must be able to demonstrate that they have (or are working towards) the relevant occupational knowledge and/or occupational competence, at the same level or higher as the units being assessed and internal quality assured. This may be gained through experience and/or qualifications.

Support for learners

Evidence and Grading Tracker

The Evidence and Grading Tracker can help learners keep track of their work. This blank document can be downloaded free of charge from the NCFE website. You don't have to use the Evidence and Grading Tracker – you can devise your own evidence tracking document instead.

Support for centres

There are a number of documents available on the NCFE website that centres might find useful.

Support handbook

This qualification specification must be used alongside the mandatory support handbook which can be found on the NCFE website. This contains additional supporting information to help with planning, delivery and assessment.

This qualification specification contains all the qualification-specific information you will need that is not covered in the support handbook.

Customer Support team

Our award-winning Customer Support Team will support you with approvals, registrations, external quality assurance, external assessment, results and certification. To contact your Customer Support Assistant, call 0191 239 8000 or email customersupport@ncfe.org.uk.

Reasonable Adjustments and Special Considerations Policy

This policy is aimed at customers – including learners – who use our products and services and who submit requests for reasonable adjustments and special considerations. The policy can be found on our dedicated qualifications website www.qualhub.co.uk.

Subject maps

Our suite of subject maps showcase the qualifications we have available within each specialist sector and how they connect to each other. They demonstrate how you can plot routes for your learners at different levels from entry level right through to higher education or the workforce, with supporting qualifications along the way.

Fees and Pricing

The current Fees and Pricing Guide is available on the NCFE website.

Training and support

We can provide training sessions for Assessors and Internal Quality Assurers. Bespoke subject-specific training is also available. For further information, please contact our Quality Assurance team on 0191 239 8000.

Learning resources

We offer a wide range of learning resources and materials to support the delivery of our qualifications. Please check the qualifications page on the NCFE website for more information and to see what is available for this qualification.

The resources and materials used in the delivery of this qualification must be age-appropriate and due consideration should be given to the wellbeing and safeguarding of learners in line with your institute's safeguarding policy when developing or selecting delivery materials.

Section 2

Unit content and assessment guidance

Unit content and assessment guidance

This section provides details of the structure and content of this qualification.

The unit overview includes:

- unit title and number
- unit summary
- credit value
- guided learning hours
- level
- an indication of whether a unit is graded or not
- an indication of whether a unit is mandatory or optional.

Following the unit summary, there's detailed information for each unit containing:

- learning outcomes
- grading descriptors/achievement descriptors and explanations
- delivery and assessment (including types of evidence for internal assessment)

The regulated unit number is indicated in brackets for each unit (eg M/100/7116). However, to make cross-referencing assessment and quality assurance easier, we've used a sequential numbering system in this document for each unit.

The types of evidence listed are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

For further information or guidance about this qualification, please contact our Product Development team on 0191 239 8000.

Unit 01 Business culture and responsibilities (H/505/9691)

Unit summary	This unit will equip learners with the skills needed to improve sustainability within a business environment, analysing the benefits of keeping waste to a minimum and implementing new means of doing so. Learners will also gain an overall understanding of diversity – recognising the benefits of a diverse workforce, how to maintain security and confidentiality, and how to manage risk in a business environment.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Be able to improve sustainability within a business environment

The learner can:

- 1.1 Analyse the benefits of keeping waste to a minimum
- 1.2 Follow agreed procedures for:
 - recycling
 - disposing of waste
 - maintaining equipment
- 1.3 Suggest ways to reduce waste in a business environment
- 1.4 Implement one of the suggestions
- 1.5 Evaluate the impact of the change

The learner will:

2. Understand diversity within a business environment

The learner can:

- 2.1 Explain what is meant by 'diversity' in a business context
- 2.2 Recognise the benefits of having a diverse workforce
- 2.3 Compare how a range of organisations promote diversity
- 2.4 Explain how they can promote diversity within their place of work
- 2.5 Summarise legal and organisational guidelines that govern diversity within the workplace

Unit 01 Business culture and responsibilities (H/505/9691) (cont'd)

The learner will:

3. Be able to maintain security and confidentiality in a business environment

The learner can:

- 3.1 Explain why security and confidentiality are important in a business environment
 - 3.2 Ensure that property is kept secure in line with organisational procedures and legal requirements
 - 3.3 Ensure that information is kept secure and confidential in line with organisational procedures and legal requirements
 - 3.4 Explain the possible consequences of failing to maintain security and confidentiality in line with requirements
-

The learner will:

4. Be able to manage risk in a business environment

The learner can:

- 4.1 Identify a risk within their place of work
 - 4.2 Identify the source of the risk
 - 4.3 Assess the impact and likelihood of the risk
 - 4.4 Identify ways to minimise the impact and likelihood of the risk
-

Unit 01 Business culture and responsibilities (H/505/9691) (cont'd)

Delivery and assessment
<p>1.1 Political, image/reputation, financial, environmental, employee motivation and morale.</p> <p>1.3 Financial and environmental.</p> <p>2.5 Current acts; Equality, Sex Discrimination, Equal Pay, Race Relations, Disability Discrimination, Employment Equality.</p> <p>3.1 Ethical and legal requirements, trust, protection of information/property/systems, business disruption.</p> <p>3.4 Internal consequences, external consequences.</p> <p>4.1 Financial, health and safety, security, environmental, business interruption, competition.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Observation – 1.2, 1.4, 3.2, 3.3 • Witness testimony – 1.2, 1.4, 3.2, 3.3 • Report – 1.1 to 2.2, 2.5 to 3.4, 4.3, 4.4 • Presentation – 1.3 • Evaluation – 1.5 • Assignments – 2.3, 2.4 • Case studies - 2.3, 2.4 • Questioning – 2.5 • Risk assessment – 4.1, 4.2, 4.3, 4.4

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

Unit 01 Business culture and responsibilities (H/505/9691) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Analyse the benefits of keeping waste to a minimum	Learners will analyse the benefits of keeping waste to a minimum	Learners will comprehensively analyse the benefits of keeping waste to a minimum	Learners will conduct a sophisticated analysis of the benefits of keeping waste to a minimum
1.2 Follow agreed procedures for: <ul style="list-style-type: none"> • recycling • disposing of waste • maintaining equipment 	Learners will follow agreed procedures for: <ul style="list-style-type: none"> • recycling • disposing of waste • maintaining equipment 	Learners will show initiative in following agreed procedures for: <ul style="list-style-type: none"> • recycling • disposing of waste • maintaining equipment 	No Distinction for this AC
1.3 Suggest ways to reduce waste in a business environment	Learners will suggest ways to reduce waste in a business environment	Learners will make convincing suggestions of ways to reduce waste in a business environment	Learners will suggest ways to reduce waste in a business environment, demonstrating originality
1.4 Implement one of the suggestions	Learners will implement one of the suggestions	Learners will implement one of the suggestions, demonstrating initiative	Learners will implement one of the suggestions, demonstrating originality
1.5 Evaluate the impact of the change	Learners will evaluate the impact of the change	Learners will thoroughly evaluate the impact of the change	Learners will thoroughly evaluate the impact of the change, drawing conclusions

Unit 01 Business culture and responsibilities (H/505/9691) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.1 Explain what is meant by 'diversity' in a business context	Learners will explain what is meant by 'diversity' in a business context	Learners will explain in detail what is meant by 'diversity' in a business context	Learners will give a sophisticated explanation of what is meant by 'diversity' in a business context
2.2 Recognise the benefits of having a diverse workforce	Learners will recognise the benefits of having a diverse workforce	Learners will perceptively recognise the benefits of having a diverse workforce	No Distinction for this AC
2.3 Compare how a range of organisations promote diversity	Learners will compare how a range of organisations promote diversity	Learners will compare how a range of organisations promote diversity, demonstrating critical judgement	Learners will critically compare how a range of organisations promote diversity, drawing conclusions
2.4 Explain how they can promote diversity within their place of work	Learners will explain how they can promote diversity within their place of work	Learners will explain, in detail, how they can promote diversity within their place of work	Learners will explain, in detail, how they can promote diversity within their place of work, demonstrating originality

Unit 01 Business culture and responsibilities (H/505/9691) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.5 Summarise legal and organisational guidelines that govern diversity within the workplace	Learners will summarise legal and organisational guidelines that govern diversity within the workplace	Learners will insightfully summarise legal and organisational guidelines that govern diversity within the workplace	No Distinction for this AC
3.1 Explain why security and confidentiality are important in a business environment	Learners will explain why security and confidentiality are important in a business environment	Learners will explain in detail why security and confidentiality are important in a business environment	No Distinction for this AC
3.2 Ensure that property is kept secure in line with organisational procedures and legal requirements	Learners will ensure that property is kept secure in line with organisational procedures and legal requirements	Learners will show initiative in ensuring that property is kept secure in line with organisational procedures and legal requirements	No Distinction for this AC
3.3 Ensure that information is kept secure and confidential in line with organisational procedures and legal requirements	Learners will ensure that information is kept secure and confidential in line with organisational procedures and legal requirements	Learners will show initiative in ensuring that information is kept secure and confidential in line with organisational procedures and legal requirements	No Distinction for this AC

Unit 01 Business culture and responsibilities (H/505/9691) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.4 Explain the possible consequences of failing to maintain security and confidentiality in line with requirements	Learners will explain the possible consequences of failing to maintain security and confidentiality in line with requirements	Learners will explain in detail the possible consequences of failing to maintain security and confidentiality in line with requirements	Learners will give a sophisticated explanation of the possible consequences of failing to maintain security and confidentiality in line with requirements
4.1 Identify a risk within their place of work	Learners will identify a risk within their place of work	Learners will show initiative in identifying a risk within their place of work	No Distinction for this AC
4.2 Identify the source of the risk	Learners will identify the source of the risk	Learners will perceptively identify the source of the risk	No Distinction for this AC
4.3 Assess the impact and likelihood of the risk	Learners will assess the impact and likelihood of the risk	Learners will assess, in detail, the impact and likelihood of the risk	Learners will assess the impact and likelihood of the risk, drawing conclusions
4.4 Identify ways to minimise the impact and likelihood of the risk	Learners will identify ways to minimise the impact and likelihood of the risk	Learners will identify ways to minimise the impact and likelihood of the risk, demonstrating creativity	No Distinction for this AC

Unit 02 Deliver customer service in a business environment (T/505/9694)

Unit summary	This unit is designed to equip learners with effective customer service skills, exceeding customer expectations by going the extra mile, delivering effective service to a wide range of customers, and monitoring and reviewing customer service.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Understand how to exceed customer expectations

The learner can:

- 1.1 Explain what 'added value' means in terms of customer service
- 1.2 Recognise opportunities to add value to a customer interaction
- 1.3 Recognise constraints in carrying out 'added value' interactions
- 1.4 Explain why it is important to let the customer know when they have gone the extra mile

The learner will:

2. Be able to deliver effective customer service

The learner can:

- 2.1 Provide services to a range of customers
- 2.2 Adapt services to meet the needs of a range of customers
- 2.3 Provide 'added value' services to customers
- 2.4 Explain to the customer how they have gone the extra mile
- 2.5 Handle a range of customer complaints in line with agreed procedures

Unit 02 Deliver customer service in a business environment (T/505/9694) (cont'd)

The learner will:

3. Be able to monitor and review customer service

The learner can:

- 3.1 Record customer feedback, using a range of methods
- 3.2 Evaluate customer feedback
- 3.3 Suggest improvements to customer service, based on feedback

Delivery and assessment
<p>1.3 Limits of authority, legislation.</p> <p>2.1 Face to face, telephone, online, internal/external.</p> <p>2.2 Payment methods, communication methods.</p> <p>2.5. Face to face, telephone, online, internal/external.</p> <p>3.1 Reports, chart, statistics, qualitative/quantitative.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Report – 1.1 to 1.4, 3.3 • Observation – 1.2, 2.1 to 3.3 • Witness testimony – 1.2, 2.1 to 3.3 • Case studies – 1.2, 1.3 • Product – 2.5, 3.1 • Evaluation report – 3.2 • Presentation – 3.3

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE

Unit 02 Deliver customer service in a business environment (T/505/9694) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Explain what 'added value' means in terms of customer service	Learners will explain what 'added value' means in terms of customer service	Learners will explain in detail what 'added value' means in terms of customer service	No Distinction for this AC
1.2 Recognise opportunities to add value to a customer interaction	Learners will recognise opportunities to add value to a customer interaction	Learners will demonstrate initiative in recognising opportunities to add value to a customer interaction	Learners will recognise opportunities to be creative in adding value to a customer interaction, demonstrating originality
1.3 Recognise constraints in carrying out 'added value' interactions	Learners will recognise constraints in carrying out 'added value' interactions	No Merit for this AC	No Distinction for this AC
1.4 Explain why it is important to let the customer know when they have gone the extra mile	Learners will explain why it is important to let the customer know when they have gone the extra mile	Learners will explain in detail why it is important to let the customer know when they have gone the extra mile	No Distinction for this AC
2.1 Provide services to a range of customers	Learners will provide services to a range of customers	Learners will confidently provide services to a range of customers	Learners will confidently provide services to a range of customers, demonstrating sophisticated customer service skills

Unit 02 Deliver customer service in a business environment (T/505/9694) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.2 Adapt services to meet the needs of a range of customers	Learners will adapt services to meet the needs of a range of customers	Learners will show initiative in adapting services to meet the needs of a range of customers	Learners will show initiative in adapting services to meet the needs of a range of customers, demonstrating sophisticated customer service skills
2.3 Provide 'added value' services to customers	Learners will provide 'added value' services to customers	Learners will show initiative in providing 'added value' services to customers	Learners will provide 'added value' services to customers, demonstrating sophisticated sales techniques
2.4 Explain to the customer how they have gone the extra mile	Learners will explain to the customer how they have gone the extra mile	Learners will convincingly explain to the customer how they have gone the extra mile	No Distinction for this AC
2.5 Handle a range of customer complaints in line with agreed procedures	Learners will handle a range of customer complaints in line with agreed procedures	Learners will confidently handle a range of customer complaints in line with agreed procedures	No Distinction for this AC

Unit 02 Deliver customer service in a business environment (T/505/9694) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.1 Record customer feedback, using a range of methods	Learners will record customer feedback, using a range of methods	Learners will record customer feedback in detail, using a range of methods	No Distinction for this AC
3.2 Evaluate customer feedback	Learners will evaluate customer feedback	Learners will perceptively evaluate customer feedback	Learners will perceptively evaluate customer feedback, drawing conclusions
3.3 Suggest improvements to customer service, based on feedback	Learners will suggest improvements to customer service, based on feedback	Learners will suggest improvements to customer service, based on feedback, demonstrating critical judgement	Learners will suggest improvements to customer service, based on feedback, demonstrating critical analysis

Unit 03 Produce documents in a business environment (F/505/9696)

Unit summary	The aim of this unit is to familiarise the learner with a range of different document types and formats. The learner will be able to research content for and produce a range of documents which meet varying requirements.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Know different documents and formats that can be used to present information

The learner can:

- 1.1 Compare the different types of document that could be used to present agreed information, selecting the most appropriate
- 1.2 Compare the different formats that could be used within each of these documents, selecting the most appropriate

The learner will:

2. Be able to research the requirements for documents

The learner can:

- 2.1 Agree the:
 - purpose
 - content
 - style
 - deadline
 for a range of documents
- 2.2 Research the required content
- 2.3 Compare the different types of technology that can be used to create the documents, selecting the most appropriate in each case

Unit 03 Produce documents in a business environment (F/505/9696) (cont'd)

The learner will:

3. Be able to produce documents to meet requirements

The learner can:

- 3.1 Select the most appropriate format for each document
- 3.2 Organise the structure and layout of each document
- 3.3 Produce the documents
- 3.4 Proofread each document, correcting any errors

Delivery and assessment

1.1 Printed, electronic, formal, informal, use of different software packages, templates.

1.2 Text, number, graphics, charts.

2.1 Printed, electronic, formal, informal, use of different software packages, templates.

2.3 Computer software, communication transfer.

The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.

Types of evidence

Evidence could include:

- Assignment – 1.1 to 3.4
- Report – 1.1 to 3.4
- Professional discussion – 2.3 to 3.4
- Observation – 2.3 to 3.4
- Witness testimony – 2.3 to 3.4

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

Unit 03 Produce documents in a business environment (F/505/9696) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Compare the different types of document that could be used to present agreed information, selecting the most appropriate	Learners will compare the different types of document that could be used to present agreed information, selecting the most appropriate	Learners will compare the different types of document that could be used to present agreed information, demonstrating critical judgement to select the most appropriate	Learners will compare the different types of document that could be used to present agreed information, drawing conclusions to select the most appropriate
1.2 Compare the different formats that could be used within each of these documents, selecting the most appropriate	Learners will compare the different formats that could be used within each of these documents, selecting the most appropriate	Learners will compare the different formats that could be used within each of these documents, demonstrating critical judgement to select the most appropriate	Learners will compare the different formats that could be used within each of these documents, drawing conclusions to select the most appropriate
2.1 Agree the: <ul style="list-style-type: none"> • purpose • content • style • deadline for a range of documents	Learners will agree the: <ul style="list-style-type: none"> • purpose • content • style • deadline for a range of documents	Learners will agree the: <ul style="list-style-type: none"> • purpose • content • style • deadline for a range of documents, showing creative ideas	No Distinction for this AC

Unit 03 Produce documents in a business environment (F/505/9696) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.2 Research the required content	Learners will research the required content	Learners will research the required content, using own initiative	No Distinction for this AC
2.3 Compare the different types of technology that can be used to create the documents, selecting the most appropriate in each case	Learners will compare the different types of technology that can be used to create the documents, selecting the most appropriate in each case	Learners will compare the different types of technology that can be used to create the documents, demonstrating critical judgement to select the most appropriate in each case	No Distinction for this AC
3.1 Select the most appropriate format for each document	Learners will select the most appropriate format for each document	Learners will use critical judgement to select the most appropriate format for each document	No Distinction for this AC
3.2 Organise the structure and layout of each document	Learners will organise the structure and layout of each document	Learners will confidently and creatively organise the structure and layout of each document	Learners will confidently and creatively organise the structure and layout of their document, demonstrating sophisticated formatting skills

Unit 03 Produce documents in a business environment (F/505/9696) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.3 Produce the documents	Learners will produce the documents	Learners will skilfully produce accurate documents	Learners will skilfully produce accurate documents, demonstrating originality
3.4 Proofread each document, correcting any errors	Learners will proofread each document, correcting any errors	Learners will proofread each document thoroughly, using own initiative to correct any errors	No Distinction for this AC

Unit 04 Solve problems in a business environment (R/505/9699)

Unit summary	This unit provides learners with the skills required to identify a solution to a business problem, develop a problem-solving plan, and then solve the problem. Learners will also evaluate the success of their plan to ensure the method chosen was the most productive option.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Be able to identify a solution to a business problem

The learner can:

- 1.1 Identify a problem that exists within a business
- 1.2 Analyse the business problem
- 1.3 Diagnose causes of the problem
- 1.4 Confirm with others that they understand the problem
- 1.5 Compare different solutions to the problem
- 1.6 Agree upon a solution

The learner will:

2. Be able to develop a problem-solving plan

The learner can:

- 2.1 Develop a plan to solve the problem, taking into account any constraints
- 2.2 Explain why they have chosen their approach
- 2.3 Explain how they will know when the problem has been solved
- 2.4 Allocate roles and responsibilities to support the plan

Unit 04 Solve problems in a business environment (R/505/9699) (cont'd)

The learner will:

3. Be able to solve a business problem

The learner can:

- 3.1 Carry out own actions as set out in the plan
- 3.2 Review progress towards solving the business problem
- 3.3 Make adjustments to the plan as necessary

The learner will:

4. Evaluate success of a problem-solving plan

The learner can:

- 4.1 Evaluate their approach to solving the business problem
- 4.2 Evaluate other approaches that may have been more productive

Delivery and assessment
The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Assignment – 1.1 to 4.2 • Report – 1.1 to 4.2 • Observation – 1.1, 1.2, 3.1 to 3.3 • Witness testimony – 1.1, 1.2, 3.1 to 3.3 • Professional discussion – 1.1, 1.2, 1.6, 3.2, 3.3 • Product – 2.1, 3.1, 4.1

The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

Unit 04 Solve problems in a business environment (R/505/9699) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Identify a problem that exists within a business	Learners will identify a problem that exists within a business	Learners will identify a problem that exists within a business, demonstrating initiative	No Distinction for this AC
1.2 Analyse the business problem	Learners will analyse the business problem	Learners will comprehensively analyse the business problem	Learners will critically analyse the business problem
1.3 Diagnose causes of the problem	Learners will diagnose causes of the problem	Learners will give a detailed diagnosis of the problem	Learners will diagnose causes of the problem, drawing conclusions
1.4 Confirm with others that they understand the problem	Learners will confirm with others that they understand the problem	Learners will confidently confirm with others that they understand the problem	No Distinction for this AC
1.5 Compare different solutions to the problem	Learners will compare different solutions to the problem	Learners will compare, in detail, different solutions to the problem	No Distinction for this AC
1.6 Agree upon a solution	Learners will agree upon a solution	Learners will agree upon a solution, showing critical judgement	No Distinction for this AC

Unit 04 Solve problems in a business environment (R/505/9699) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.1 Develop a plan to solve the problem, taking into account any constraints	Learners will develop a plan to solve the problem, taking into account any constraints	Learners will develop a detailed plan to solve the problem, taking into account any constraints	Learners will develop a sophisticated plan to solve the problem, taking into account any constraints
2.2 Explain why they have chosen their approach	Learners will explain why they have chosen their approach	Learners will explain why they have chosen their approach, using convincing arguments	No Distinction for this AC
2.3 Explain how they will know when the problem has been solved	Learners will explain how they will know when the problem has been solved	Learners will thoroughly explain how they will know when the problem has been solved	Learners will explain how they will know when the problem has been solved, showing critical analysis
2.4 Allocate roles and responsibilities to support the plan	Learners will allocate roles and responsibilities to support the plan	Learners will show initiative in allocating roles and responsibilities to support the plan	No Distinction for this AC
3.1 Carry out own actions as set out in the plan	Learners will carry out own actions as set out in the plan	Learners will skilfully carry out own actions as set out in the plan	Learners will skilfully carry out own actions as set out in the plan, demonstrating originality

Unit 04 Solve problems in a business environment (R/505/9699) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.2 Review progress towards solving the business problem	Learners will review progress towards solving the business problem	Learners will continually review progress towards solving the business problem	Learners will review progress towards solving the business problem, showing critical analysis
3.3 Make adjustments to the plan as necessary	Learners will make adjustments to the plan as necessary	No Merit for this AC	No Distinction for this AC
4.1 Evaluate their approach to solving the business problem	Learners will evaluate their approach to solving the business problem	Learners will thoroughly evaluate their approach to solving the business problem	Learners will evaluate their approach to solving the business problem, drawing conclusions
4.2 Evaluate other approaches that may have been more productive	Learners will evaluate other approaches that may have been more productive	Learners will thoroughly evaluate other approaches that may have been more productive	Learners will thoroughly evaluate other approaches that may have been more productive, drawing conclusions

Unit 05 Work with others in a business environment (J/505/9702)

Unit summary	This unit aims to equip learners with understanding of different roles within an organisation, being able to describe and compare responsibilities across an organisation. The unit also helps the learner to understand team dynamics and conflict. The learner will also be able to give and receive feedback, with a view to improving team performance.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Understand roles within an organisation

The learner can:

- 1.1 Describe the sector that an organisation operates in
- 1.2 Describe the main responsibilities for a role within the organisation
- 1.3 Compare responsibilities across roles within the organisation

The learner will:

2. Understand team dynamics

The learner can:

- 2.1 Outline what is meant by 'team dynamics'
- 2.2 Compare the benefits of working alone to working with others
- 2.3 Carry out a skills audit for themselves and members of their team
- 2.4 Suggest ways in which they can work together to make use of individual strengths
- 2.5 Work with others, making best use of individual strengths
- 2.6 Provide support to others

Unit 05 Work with others in a business environment (J/505/9702) (cont'd)

The learner will:

3. Be able to give and receive feedback

The learner can:

- 3.1 Explain the benefits of giving and receiving feedback in a business environment
 - 3.2 Provide others with feedback on achievement of objectives
 - 3.3 Respond positively to feedback from others
 - 3.4 Create a plan to improve team performance based on feedback
-

The learner will:

4. Know about conflict in a business environment

The learner can:

- 4.1 Give examples of possible sources of conflict within a team
 - 4.2 Identify ways to resolve conflict within a team
 - 4.3 Decide on most appropriate methods to resolve a specific conflict
 - 4.4 Suggest ways of preventing conflict from occurring
-

Unit 05 Work with others in a business environment (J/505/9702) (cont'd)

Delivery and assessment
<p>1.1 Sectors: public, private, voluntary, industry type.</p> <p>1.6 Formal and informal settings, purposes, roles, stages of team development.</p> <p>2.3 Tools (eg Likert scale).</p> <p>2.4 Analysis of strengths.</p> <p>3.2 Time, frequency, purpose, specific.</p> <p>3.3 Positive and negative.</p> <p>3.4 Set specific, measureable, achievable, realistic/relevant and timebound (SMART) targets.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Assignment – 1.1 to 2.4, 3.1, 4.1 to 4.4 • Report – 1.1 to 3.1, 4.1 to 4.4 • Product – 2.3 • Observation – 2.5, 2.6, 3.2, 3.3 • Witness testimony – 2.5, 2.6, 3.2, 3.3 • Professional discussion – 3.1 • Product (plan) – 3.4

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Unit 05 Work with others in a business environment (J/505/9702) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Describe the sector that an organisation operates in	Learners will describe the sector that an organisation operates in	Learners will describe in detail the sector that an organisation operates in	No Distinction for this AC
1.2 Describe the main responsibilities for a role within the organisation	Learners will describe the main responsibilities for a role within the organisation	Learners will describe in detail the main responsibilities for a role within the organisation	No Distinction for this AC
1.3 Compare responsibilities across roles within the organisation	Learners will compare responsibilities across roles within the organisation	Learners will comprehensively compare their responsibilities to those of colleagues' responsibilities across roles within the organisation	No Distinction for this AC
2.1 Outline what is meant by 'team dynamics'	Learners will outline what is meant by 'team dynamics'	Learners will outline in detail what is meant by 'team dynamics'	No Distinction for this AC
2.2 Compare the benefits of working alone to working with others	Learners will compare the benefits of working alone to working with others	Learners will do a detailed comparison of the benefits of working alone to working with others	Learners will compare the benefits of working alone to working with others, using critical analysis

Unit 05 Work with others in a business environment (J/505/9702) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.3 Carry out a skills audit for themselves and members of their team	Learners will carry out a skills audit for themselves and members of their team	Learners will carry out a detailed skills audit for themselves and members of their team	Learners will carry out a skills audit for themselves and members of their team, drawing conclusions
2.4 Suggest ways in which they can work together to make use of individual strengths	Learners will suggest ways in which they can work together to make use of individual strengths	Learners will comprehensively suggest ways in which they can work together to make use of individual strengths	Learners will perceptively suggest ways in which they can work together to make use of individual strengths, demonstrating originality
2.5 Work with others, making best use of individual strengths	Learners will work with others, making best use of individual strengths	Learners will confidently work with others, making best use of individual strengths	No Distinction for this AC
2.6 Provide support to others	Learners will provide support to others	Learners will show initiative in providing support to others	No Distinction for this AC
3.1 Explain the benefits of giving and receiving feedback in a business environment	Learners will explain the benefits of giving and receiving feedback in a business environment	Learners will explain in detail the benefits of giving and receiving feedback in a business environment	No Distinction for this AC

Unit 05 Work with others in a business environment (J/505/9702) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.2 Provide others with feedback on achievement of objectives	Learners will provide others with feedback on achievement of objectives	Learners will provide others with feedback on achievement of objectives, demonstrating critical judgement	Learners will provide others with feedback on achievement of objectives, demonstrating sophisticated skills
3.3 Respond positively to feedback from others	Learners will respond positively to feedback from others	No Merit for this AC	No Distinction for this AC
3.4 Create a plan to improve team performance based on feedback	Learners will create a plan to improve team performance based on feedback	Learners will create a detailed SMART plan to improve team performance based on feedback	No Distinction for this AC
4.1 Give examples of possible sources of conflict within a team	Learners will give examples of possible sources of conflict within a team	Learners will give insightful examples of possible sources of conflict within a team	No Distinction for this AC

Unit 05 Work with others in a business environment (J/505/9702) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
4.2 Identify ways to resolve conflict within a team	Learners will identify ways to resolve conflict within a team	Learners will identify in detail ways to resolve conflict within a team	Learners will identify ways to resolve conflict within a team, showing originality
4.3 Decide on most appropriate methods to resolve a specific conflict	Learners will decide on the most appropriate methods to resolve a specific conflict	Learners will decide on the most appropriate methods to resolve a specific conflict, demonstrating initiative	Learners will decide on the most appropriate methods to resolve a specific conflict, demonstrating critical analysis
4.4 Suggest ways of preventing conflict from occurring	Learners will suggest ways of preventing conflict from occurring	Learners will perceptively suggest ways of preventing conflict from occurring	Learners will perceptively suggest ways of preventing conflict from occurring, demonstrating originality

Unit 06 Communicate in a business environment (K/505/9692)

Unit summary	The aim of this unit is to provide learners with both written and verbal communication skills. Learners will be able to evaluate communication within a business environment, summarising positive and negative points of a communication and developing a plan to improve their communication skills.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Know about written and verbal communication in a business environment

The learner can:

- 1.1 Summarise advantages and disadvantages of using written communication in a business environment
- 1.2 Summarise advantages and disadvantages of using verbal communication in a business environment
- 1.3 Explain the difference between an important communication and an urgent communication

The learner will:

2. Be able to communicate in writing in a business environment

The learner can:

- 2.1 Confirm the purpose of a specified written business communication
- 2.2 Collate information needed to create a specified written communication
- 2.3 Create a written business communication that is appropriate for a specified audience
- 2.4 Make amendments to the communication to accommodate different audiences
- 2.5 Proofread the communication before sending, correcting any errors

Unit 06 Communicate in a business environment (K/505/9692) (cont'd)

The learner will:

3. Be able to communicate verbally in a business environment

The learner can:

- 3.1 Present own ideas verbally to others
 - 3.2 Actively listen to others, responding appropriately
 - 3.3 Ask questions to confirm understanding
 - 3.4 Agree future actions, taking into account own views and those of others
 - 3.5 Summarise, with others, the main points of the discussion
 - 3.6 Use appropriate body language and voice tone throughout the discussion
-

The learner will:

4. Be able to evaluate communication in a business environment

The learner can:

- 4.1 Seek feedback on whether a communication has achieved its purpose
 - 4.2 Summarise positive and negative points of the communication
 - 4.3 Develop a plan to improve own communication skills
-

Unit 06 Communicate in a business environment (K/505/9692) (cont'd)

Delivery and assessment
<p>1.1, 1.2 Internal/external, styles and tones, benefits and barriers.</p> <p>2.1, 2.4 Formal/informal, technical/non-technical, internal/external.</p> <p>2.2 Organisational information, technical information, practical information.</p> <p>2.3 Letter, report, email.</p> <p>3.1 Presentation: face to face meeting, video conferencing.</p> <p>3.3 Active involvement in the communication.</p> <p>3.4 Clarification of understanding, next steps.</p> <p>3.6 Verbal and non-verbal signs, facial expressions, body language.</p> <p>4.1 Principles of the communication cycle, feedback from recipient(s).</p> <p>4.3 Formal/informal, verbal/non-verbal, internal/external, technical/non-technical.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Assignment – 2.1, 2.3 to 2.5 • Report – 1.1 to 1.3, 3.5, 4.2 • Product – 2.2 to 3.1, 4.1 • Observation – 2.1, 2.2, 3.1 to 4.1 • Witness testimony – 2.1, 2.2, 3.1 to 4.1 • Questioning – 1.1 to 1.3 • Professional discussion – 4.2, 4.3 • Personal development plan – 4.3

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Unit 06 Communicate in a business environment (K/505/9692) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Summarise advantages and disadvantages of using written communication in a business environment	Learners will summarise advantages and disadvantages of using written communication in a business environment	Learners will summarise advantages and disadvantages of using written communication in a business environment, demonstrating critical judgement	No Distinction for this AC
1.2 Summarise advantages and disadvantages of using verbal communication in a business environment	Learners will summarise advantages and disadvantages of using verbal communication in a business environment	Learners will summarise advantages and disadvantages of using verbal communication in a business environment, demonstrating critical judgement	No Distinction for this AC
1.3 Explain the difference between an important communication and an urgent communication	Learners will explain the difference between an important communication and an urgent communication	No Merit for this AC	No Distinction for this AC
2.1 Confirm the purpose of a specified written business communication	Learners will confirm the purpose of a specified written business communication	No Merit for this AC	No Distinction for this AC
2.2 Collate information needed to create a specified written communication	Learners will collate information needed to create a specified written communication	Learners will collate a comprehensive selection of information needed to create a specified written communication	Learners will collate a comprehensive selection of information needed to create a specified written communication, demonstrating critical analysis

Unit 06 Communicate in a business environment (K/505/9692) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.3 Create a written business communication that is appropriate for a specified audience	Learners will create a written business communication that is appropriate for a specified audience	Learners will create a detailed written business communication that is appropriate for a specified audience	Learners will create a detailed and sophisticated written business communication that is appropriate for a specified audience
2.4 Make amendments to the communication to accommodate different audiences	Learners will make amendments to the communication to accommodate different audiences	Learners will make creative amendments to the communication to accommodate different audiences	Learners will make sophisticated amendments to the communication to accommodate different audiences
2.5 Proofread the communications before sending, correcting any errors	Learners will proofread the communications before sending, correcting any errors	Learners will proofread the communications before sending, using own initiative to correct any errors and make appropriate improvements	No Distinction for this AC
3.1 Present own ideas verbally to others	Learners will present own ideas verbally to others	Learners will present own ideas verbally to others with fluency and confidence	Learners will present own ideas verbally to others with fluency and confidence, showing originality

Unit 06 Communicate in a business environment (K/505/9692) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.2 Actively listen to others, responding appropriately	Learners will actively listen to others, responding appropriately	Learners will actively listen to others, responding appropriately and with confidence	Learners will demonstrate sophisticated active listening skills, responding appropriately and with confidence
3.3 Ask questions to confirm understanding	Learners will ask questions to confirm understanding	Learners will confidently ask questions to confirm understanding	Learners will formulate own questions and ask them confidently to confirm understanding
3.4 Agree future actions, taking into account own views and those of others	Learners will agree future actions, taking into account own views and those of others	Learners will agree realistic future actions, positively taking into account own views and those of others	No Distinction for this AC
3.5 Summarise, with others, the main points of the discussion	Learners will summarise, with others, the main points of the discussion	Learners will confidently summarise, with others, the main points of discussion	Learners will confidently summarise, with others, the main points of discussion, demonstrating sophisticated skills

Unit 06 Communicate in a business environment (K/505/9692) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.6 Use appropriate body language and voice tone throughout the discussion	Learners will use appropriate body language and voice tone throughout the discussion	Learners will skilfully use appropriate body language and voice tone throughout the discussion	No Distinction for this AC
4.1 Seek feedback on whether a communication has achieved its purpose	Learners will seek feedback on whether a communication has achieved its purpose	Learners will seek detailed feedback on whether a communication has achieved its purpose	Learners will seek detailed feedback on whether a communication has achieved its purpose, drawing conclusions
4.2 Summarise the positive and negative points of the communication	Learners will summarise the positive and negative points of the communication	Learners will summarise the positive and negative points of the communication, demonstrating critical judgement	No Distinction for this AC
4.3 Develop a plan to improve own communication skills	Learners will develop a plan to improve own communication skills	Learners will develop a thorough plan to improve own communication skills, demonstrating critical judgement	No Distinction for this AC

Unit 07 Contribute to running a project (T/505/9758)

Unit summary	This unit aims to equip learners with project running skills. The learner will be able to plan for, run and evaluate a project. The learner will create project control documentation, design contingency measures for the project and then run the project. They will report on unexpected events and then evaluate the success of the project, describing how performance could have been improved.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Be able to plan for a project

The learner can:

- 1.1 Create project control documentation, to include:
 - stakeholders
 - purpose
 - scope
 - timetable
 - aims and objectives
 - resources
 - budget
 - additional documentation
- 1.2 Design contingency measures for the project

The learner will:

2. Be able to run a project

The learner can:

- 2.1 Carry out the project, keeping stakeholders up-to-date throughout
- 2.2 Keep project control documentation up-to-date
- 2.3 Report on any unexpected events, taking corrective action where appropriate

Unit 07 Contribute to running a project (T/505/9758) (cont'd)

The learner will:

3. Be able to evaluate the success of a project

The learner can:

- 3.1 Evaluate the success of a project in relation to:
- purpose
 - aims and objectives
 - resources
 - budget
 - timescale
- 3.2 Describe how project performance could have been improved

Delivery and assessment
<p>1.1 Project plan, risk log, stakeholder analysis, project reporting form, change log, budget monitoring, identification of stakeholders, distribution list, SMART targets, success factors.</p> <p>4.1 Communication: informal/formal communication, meetings, documents, distribution lists.</p> <p>4.2 Version numbers, track changes (history), distribution.</p> <p>4.3 Change log, rescheduling, distribution to appropriate person(s) in appropriate format at appropriate time.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Risk assessment – 1.2 • Product – 1.1, 2.1 to 2.3 • Observation – 2.1 to 2.3 • Witness testimony – 2.1 to 2.3 • Evaluation report – 3.1, 3.2 • Presentation – 3.1, 3.2

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Unit 07 Contribute to running a project (T/505/9758) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
<p>1.1 Create project control documentation, to include:</p> <ul style="list-style-type: none"> • stakeholders • purpose • scope • timetable • aims and objectives • resources • budget • additional documentation 	<p>Learners will create project control documentation, to include:</p> <ul style="list-style-type: none"> • stakeholders • purpose • scope • timetable • aims and objectives • resources • budget • additional documentation 	<p>Learners will create comprehensive project control documentation, to include:</p> <ul style="list-style-type: none"> • stakeholders • purpose • scope • timetable • aims and objectives • resources • budget • additional documentation 	<p>Learners will create sophisticated project control documentation, to include:</p> <ul style="list-style-type: none"> • stakeholders • purpose • scope • timetable • aims and objectives • resources • budget • additional documentation
<p>1.2 Design contingency measures for the project</p>	<p>Learners will design contingency measures for the project</p>	<p>Learners will design contingency measures for the project, showing detailed insight</p>	<p>Learners will design detailed contingency measures for the project, continually reviewing and revising contingency measures</p>

Unit 07 Contribute to running a project (T/505/9758) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.1 Carry out the project, keeping stakeholders up-to-date throughout	Learners will carry out the project, keeping stakeholders up-to-date throughout	Learners will carry out the project, showing initiative in keeping stakeholders up-to-date throughout	Learners will carry out the project, showing initiative and continually reviewing and revising to keep stakeholders up-to-date
2.2 Keep project control documentation up-to-date	Learners will keep project control documentation up-to-date	Learners will keep project control documentation up-to-date in a thorough and organised manner	No Distinction for this AC
2.3 Report on any unexpected events, taking corrective action where appropriate	Learners will report on any unexpected events, taking corrective action where appropriate	Learners will report on any unexpected events, showing initiative in taking corrective action where appropriate	No Distinction for this AC

Unit 07 Contribute to running a project (T/505/9758) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.1 Evaluate the success of a project in relation to: <ul style="list-style-type: none"> • purpose • aims and objectives • resources • budget • timescale 	Learners will evaluate the success of a project in relation to: <ul style="list-style-type: none"> • purpose • aims and objectives • resources • budget • timescale 	Learners will comprehensively evaluate the success of a project in relation to: <ul style="list-style-type: none"> • purpose • aims and objectives • resources • budget • timescale 	No Distinction for this AC
3.2 Describe how project performance could have been improved	Learners will describe how project performance could have been improved	Learners will describe in detail how project performance could have been improved	Learners will describe in detail how project performance could have been improved, showing originality

Unit 08 Innovation in a business environment (T/505/9744)

Unit summary	This unit will enable the learner to evaluate working practices against agreed criteria and also evaluate the feasibility of an idea. They will then be able to communicate an idea to decision makers, explaining the purpose of doing so and responding to feedback on their idea.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Be able to evaluate working practices

The learner can:

- 1.1 Assess the benefits of evaluating current working practices
- 1.2 Explain possible improvements to working practices, products or services
- 1.3 Research possible improvements
- 1.4 Evaluate ideas against agreed criteria

The learner will:

2. Be able to evaluate the feasibility of an idea

The learner can:

- 2.1 Establish criteria to assess the feasibility of an idea
- 2.2 Evaluate the feasibility of an idea against criteria

The learner will:

3. Be able to communicate ideas to decision makers

The learner can:

- 3.1 Explain the purpose of selling ideas to decision makers
- 3.2 Communicate an idea to decision makers
- 3.3 Respond to feedback on their idea

Unit 08 Innovation in a business environment (T/505/9744) (cont'd)

Delivery and assessment
<p>1.1 Own and others.</p> <p>2.1 Market analysis, competitive advantage, endorsement, finance, existing data, new data.</p> <p>3.2 Positive/negative.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Assignment – 1.2 to 2.1 • Report – 1.1 to 1.4, 2.2, 3.2, 3.3 • Observation – 3.1 to 3.3 • Witness testimony – 3.1 to 3.3 • Presentation – 1.2 to 1.4, 2.2 to 3.3

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Unit 08 Innovation in a business environment (T/505/9744) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Assess the benefits of evaluating current working practices	Learners will assess the benefits of evaluating current working practices	Learners will give a detailed assessment of the benefits of evaluating current working practices	No Distinction for this AC
1.2 Explain possible improvements to working practices, products or services	Learners will explain possible improvements to working practices, products or services	Learners will explain possible improvements to working practices, products or services, showing critical judgement	No Distinction for this AC
1.3 Research possible improvements	Learners will research possible improvements	Learners will thoroughly research possible improvements	Learners will thoroughly research possible improvements, critically analysing the options

Unit 08 Innovation in a business environment (T/505/9744) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
1.4 Evaluate ideas against agreed criteria	Learners will evaluate ideas against agreed criteria	Learners will thoroughly evaluate ideas against agreed criteria	Learners will critically evaluate ideas against agreed criteria, drawing appropriate conclusions
2.1 Establish criteria to assess the feasibility of an idea	Learners will establish criteria to assess the feasibility of an idea	Learners will establish comprehensive criteria to assess the feasibility of an idea	Learners will establish comprehensive criteria to assess the feasibility of an idea, demonstrating sophisticated skills
2.2 Evaluate the feasibility of idea against criteria	Learners will evaluate the feasibility of idea against criteria	Learners will evaluate the feasibility of idea against criteria, using critical judgement	Learners will thoroughly evaluate the feasibility of idea against criteria and draw conclusions

Unit 08 Innovation in a business environment (T/505/9744) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.1 Explain the purpose of selling ideas to decision makers	Learners will explain the purpose of selling ideas to decision makers	Learners will explain, in detail, the purpose of selling ideas to decision makers	No Distinction for this AC
3.2 Communicate an idea to decision makers	Learners will communicate an idea to decision makers, outlining the costs, risks and benefits	Learners will confidently communicate an idea to decision makers, explaining the costs, risks and benefits	Learners will confidently communicate an idea to decision makers, comprehensively explaining the costs, risks and benefits, using sophisticated communication skills
3.3 Respond to feedback on their idea	Learners will respond to feedback on their idea	Learners will confidently respond to feedback on their idea, either by making appropriate adjustments or justifying that there should be no changes	No Distinction for this AC

Unit 09 Manage and improve own performance in a business environment (L/505/9703)

Unit summary	The aim of this unit is to equip learners with the ability to plan and manage their own work. The learner will understand why behaviour and attitude are important in a business environment and know how to improve their own performance.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Be able to plan own work

The learner can:

- 1.1 Set realistic targets and timescales for a range of tasks
- 1.2 Develop a plan to achieve these targets
- 1.3 Explain how they will maximise efficiency of available resources

The learner will:

2. Be able to manage own work

The learner can:

- 2.1 Establish tasks and responsibilities for a specified piece of work
- 2.2 Agree deadlines for the work
- 2.3 Perform tasks in line with relevant guidelines, procedures and codes of practice
- 2.4 Keep others informed of progress, re-negotiating deadlines as required

Unit 09 Manage and improve own performance in a business environment (L/505/9703) (cont'd)

The learner will:

3. Understand why behaviour and attitude are important in a business environment

The learner can:

- 3.1 Describe why the following are important characteristics in business:
 - adaptability
 - resilience
 - assertiveness
 - honesty
 - respect
 - resourcefulness
 - 3.2 Describe how to demonstrate:
 - adaptability
 - resilience
 - assertiveness
 - honesty
 - respect
 - resourcefulness
 - 3.3 Explain why it is important to help and support others in a business environment
-

The learner will:

4. Know how to improve own performance in a business environment

The learner can:

- 4.1 Evaluate own performance, using feedback from others
 - 4.2 Explain ways in which they can improve own performance in the workplace
 - 4.3 Contribute to developing a learning plan for themselves
 - 4.4 Create a plan to monitor own progress
-

Unit 09 Manage and improve own performance in a business environment (L/505/9703) (cont'd)

Delivery and assessment
<p>1.1 Align with organisational objectives, SMART targets.</p> <p>1.3 Personal resources, physical resources.</p> <p>4.1 Recognise progress, review objectives. Feedback: informal/formal.</p> <p>4.4 SMART targets.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Professional discussion – 1.1, 2.1, 2.2, 2.4, 4.2 to 4.4 • Report – 1.2, 1.3, 3.1 to 4.2 • Action plan – 1.1, 1.2 • Assignment – 2.1, 2.2 • Observation – 2.3, 2.4 • Witness testimony – 2.3, 2.4 • Performance review/appraisal document – 1.1, 4.3, 4.4 • Products – 2.3, 2.4

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Unit 09 Manage and improve own performance in a business environment (L/505/9703) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Set realistic targets and timescales for a range of tasks	Learners will set realistic targets and timescales for a range of tasks	No Merit for this AC	No Distinction for this AC
1.2 Develop a plan to achieve these targets	Learners will develop a plan to achieve these targets	Learners will develop a detailed plan to achieve these targets	Learners will develop a sophisticated plan to achieve these targets
1.3 Explain how they will to maximise efficiency of available resources	Learners will explain how they will maximise efficiency of available resources	Learners will explain in detail how they will maximise efficiency of a range of available resources	No Distinction for this AC
2.1 Establish tasks and responsibilities for a specified piece of work	Learners will establish tasks and responsibilities for a specified piece of work	Learners will establish tasks and responsibilities for a specified piece of work, continually reviewing them	Learners will establish tasks and responsibilities for a specified piece of work, continually reviewing and revising the choices

Unit 09 Manage and improve own performance in a business environment (L/505/9703) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.2 Agree deadlines for the work	Learners will agree deadlines for the work	Learners will agree and continually review deadlines for the work	Learners will agree and continually review and revise deadlines for the work
2.3 Perform tasks in line with relevant guidelines, procedures and codes of practice	Learners will perform tasks in line with relevant guidelines, procedures and codes of practice	Learners will skilfully perform tasks in line with relevant guidelines, procedures and codes of practice	Learners will perform tasks in line with relevant guidelines, procedures and codes of practice, showing originality
2.4 Keep others informed of progress, re-negotiating deadlines as required	Learners will keep others informed of progress, re-negotiating deadlines as required	No Merit for this AC	No Distinction for this AC
3.1 Describe why the following are important characteristics in business: <ul style="list-style-type: none"> • adaptability • resilience • assertiveness • honesty • respect • resourcefulness 	Learners will describe why the following are important characteristics in business: <ul style="list-style-type: none"> • adaptability • resilience • assertiveness • honesty • respect • resourcefulness 	Learners will describe, in detail and using convincing examples, why the following are important characteristics in business: <ul style="list-style-type: none"> • adaptability • resilience • assertiveness • honesty • respect • resourcefulness 	No Distinction for this AC

Unit 09 Manage and improve own performance in a business environment (L/505/9703) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
3.2 Describe how to demonstrate: <ul style="list-style-type: none"> • adaptability • resilience • assertiveness • honesty • respect • resourcefulness 	Learners will describe how to demonstrate: <ul style="list-style-type: none"> • adaptability • resilience • assertiveness • honesty • respect • resourcefulness 	Learners will describe, in detail and using convincing examples, how to demonstrate: <ul style="list-style-type: none"> • adaptability • resilience • assertiveness • honesty • respect • resourcefulness 	No Distinction for this AC
3.3 Explain why it is important to help and support others in a business environment	Learners will explain why it is important to help and support others in a business environment	Learners will give a detailed explanation of why it is important to help and support others in a business environment	Learners will give a sophisticated explanation of why it is important to help and support others in a business environment
4.1 Evaluate own performance, using feedback from others	Learners will evaluate own performance, using feedback from others	Learners will carry out a thorough evaluation of own performance, using feedback from others	No Distinction for this AC

Unit 09 Manage and improve own performance in a business environment (L/505/9703) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
4.2 Explain ways in which they can improve own performance in the workplace	Learners will explain ways in which they can improve own performance in the workplace	Learners will give a detailed explanation of ways in which they can improve own performance in the workplace	No Distinction for this AC
4.3 Contribute to developing a learning plan for themselves	Learners will contribute to developing a learning plan for themselves	Learners will contribute to developing a detailed learning plan for themselves	Learners will contribute to developing a sophisticated learning plan for themselves
4.4 Create a plan to monitor own progress	Learners will create a plan to monitor own progress	Learners will create a detailed plan to monitor own progress	Learners will create a sophisticated plan to monitor own progress

Unit 10 Respond to change in a business environment (D/505/9706)

Unit summary	This unit will give learners an understanding of change in a business and how it can affect people in the business. The learner will also be able to support others through change in a business.
Credit value	10
Guided learning hours	60
Level	3
Mandatory/optional	Optional
Grading	This unit is graded

The learner will:

1. Understand change in business

The learner can:

- 1.1 Explain why it is important for a business to change
- 1.2 Analyse the positive and negative effects of change on a selected business
- 1.3 Compare the risks of slow against rapid change within a business
- 1.4 Compare the benefits of slow against rapid change within a business

The learner will:

2. Understand how change can affect people within a business

The learner can:

- 2.1 Explain why people respond positively to change in a business
- 2.2 Explain why people respond negatively to change in a business

The learner will:

3. Be able to support others through change in a business

The learner can:

- 3.1 Identify support mechanisms for self and others during the change process
- 3.2 Create a plan to support somebody through a specified change
- 3.3 Explain how they would evaluate the effectiveness of their plan

Unit 10 Respond to change in a business environment (D/505/9706) (cont'd)

Delivery and assessment
<p>1.1 Internal factors, external factors, technology, globalisation, politics, regulation, competition.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none">• Assignment – 1.1 to 3.3• Report – 1.1 to 3.3• Product – 3.2

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Unit 10 Respond to change in a business environment (D/505/9706) – Grading descriptors

Assessment criteria	Pass	Merit	Distinction
1.1 Explain why it is important for a business to change	Learners will explain why it is important for a business to change	Learners will explain in detail why it is important for a business to change	Learners will give a sophisticated explanation of why it is important for a business to change
1.2 Analyse the positive and negative effects of change on a selected business	Learners will analyse the positive and negative effects of change on a selected business	Learners will analyse the positive and negative effects of change on a selected business, demonstrating critical judgement	Learners will analyse the positive and negative effects of change on a selected business, demonstrating critical judgement and drawing conclusions
1.3 Compare the risks of slow and rapid change within a business	Learners will compare the risks of slow and rapid change within a business	Learners will compare the risks of slow and rapid change within a business, demonstrating critical judgement	Learners will compare the risks of slow and rapid change within a business, demonstrating critical judgement and drawing conclusions
1.4 Compare the benefits of slow and rapid change within a business	Learners will compare the benefits of slow and rapid change within a business	Learners will compare the benefits of slow and rapid change within a business, demonstrating critical judgement	Learners will compare the benefits of slow and rapid change within a business, demonstrating critical judgement and drawing conclusions

Unit 10 Respond to change in a business environment (D/505/9706) – Grading descriptors (cont'd)

Assessment criteria	Pass	Merit	Distinction
2.1 Explain why people respond positively to change in a business	Learners will explain why people respond positively to change in a business	Learners will give a convincing explanation as to why people respond positively to change in a business	No Distinction for this AC
2.2 Explain why people respond negatively to change in a business	Learners will explain why people respond negatively to change in a business	Learners will give a convincing explanation as to why people respond negatively to change in a business	No Distinction for this AC
3.1 Identify support mechanisms for self and others during the change process	Learners will identify support mechanisms for self and others during the change process	Learners will perceptively identify support mechanisms for self and others during the change process	No Distinction for this AC
3.2 Create a plan to support somebody through a specified change	Learners will create a plan to support somebody through a specified change	Learners will create a thorough plan to support somebody through a specified change	No Distinction for this AC
3.3 Explain how they would evaluate the effectiveness of their plan	Learners will explain how they would evaluate the effectiveness of their plan	Learners will give a detailed explanation of how they would evaluate the effectiveness of their plan	No Distinction for this AC

Unit 11 Accounts preparation (R/504/3910)

Unit summary	This unit is designed to provide learners with an understanding of generally accepted accounting principles and concepts of double entry bookkeeping. The learner will also understand the accounting methods used to record non-current assets. Learners will be able to account for purchase of non-current assets, depreciation, adjustments and disposal of non-current assets. The learner will also be able to prepare and extend the trial balance.
Credit value	9
Guided learning hours	75
Level	3
Mandatory/optional	Optional

The learner will:

1. Understand generally accepted accounting principles and concepts

The learner can:

- 1.1 Explain the accounting principles of going concern, accruals, prudence and consistency
- 1.2 Explain the purpose of maintaining financial records for internal and external use
- 1.3 Describe the types of accounting records that a business should maintain and the main uses of each
- 1.4 Describe the main requirements of accounting standards (IFRS) in relation to inventory and non-current asset valuations
- 1.5 Explain the accounting characteristics of relevance, reliability, comparability, ease of understanding and materiality
- 1.6 Explain the differences between capital and revenue expenditure, classifying items as one or the other

The learner will:

2. Understand the principles of double entry bookkeeping

The learner can:

- 2.1 Explain the accounting equation
- 2.2 Define assets, liabilities and equity in an accounting context
- 2.3 Explain the purpose and use of books of prime entry and ledger accounts
- 2.4 Explain the purpose of reconciling the sales and purchases ledgers, and the cash book

Unit 11 Accounts preparation (R/504/3910) (cont'd)

The learner will:

3. Understand the accounting methods used to record non-current assets

The learner can:

- 3.1 Describe how the acquisition of non-current assets can be funded, including part exchange
 - 3.2 Explain the accounting treatment for recording the acquisition and disposal of non-current assets
 - 3.3 Explain the need for, and methods of, providing for depreciation on non-current assets
 - 3.4 Describe the contents and use of the non-current assets register
 - 3.5 Resolve any queries, unusual features or discrepancies relating to the accounting records for non-current assets or refer to an appropriate person
-

The learner will:

4. Account for the purchase of non-current assets

The learner can:

- 4.1 Calculate total capital expenditure, including all associated costs
 - 4.2 Record prior authority for the capital expenditure
 - 4.3 Record in the appropriate accounts the acquisition of a non-current asset, including funded by/part exchange
 - 4.4 Record the acquisition in a non-current assets register
 - 4.5 Close off or transfer the ledger account balances at the end of the financial period
-

The learner will:

5. Account for depreciation

The learner can:

- 5.1 Calculate the depreciation charges for a non-current asset using the:
 - straight line method
 - reducing balance method
 - 5.2 Record the depreciation in the non-current assets register
 - 5.3 Record depreciation in the appropriate ledger accounts
 - 5.4 Close off the ledger accounts at the end of the financial period, correctly identifying any transfers to the statement of profit or loss
-

Unit 11 Accounts preparation (R/504/3910) (cont'd)

The learner will:

6. Account for the disposal of non-current assets

The learner can:

- 6.1 Identify the correct asset, removing it from the non-current assets register
 - 6.2 Record the disposal of non-current assets in the appropriate accounts
 - 6.3 Calculate any gain or loss arising from the disposal, closing off or transferring the account balance
-

The learner will:

7. Account for adjustments

The learner can:

- 7.1 Explain the accounting treatment of accruals and prepayments to expenses and revenue
 - 7.2 Explain the reasons for, and method of, accounting for irrecoverable debts and allowances for doubtful debts
 - 7.3 Record the journal entries for closing inventory
 - 7.4 Record the journal entries for accrued and prepaid expenses and income
 - 7.5 Record the journal entries for provision for depreciation, irrecoverable debts and allowances for doubtful debts
 - 7.6 Record the journal entries to close off revenue accounts in preparation for the transfer of balances to the final accounts
-

The learner will:

8. Prepare and extend the trial balance

The learner can:

- 8.1 Prepare ledger account balances, reconciling them, identifying any discrepancies and taking appropriate action
- 8.2 Prepare a trial balance
- 8.3 Account for these adjustments:
 - closing inventory
 - accruals and prepayments to expenses and income
 - provisions for depreciation on non-current assets
 - irrecoverable debts
 - allowance for doubtful debts
- 8.4 Prepare the trial balance after adjustments
- 8.5 Check for errors and/or inaccuracies in the trial balance, taking appropriate action

Unit 11 Accounts preparation (R/504/3910) (cont'd)**Delivery and assessment**

- 1.1 Definitions and applications.
- 1.2 Measuring business performance, obtaining finance or credit, statutory requirements.
- 1.3 Cash book and journal, general ledger, subsidiary ledgers, stock record, assets.
- 1.4 Inventories, unit costs, property, plant and equipment, costs and depreciation.
- 1.5 Basic understanding of financial characteristics.
- 1.6 Ability to identify expenditure in each category.
- 2.1 Double entry bookkeeping.
- 2.2 Capital, non-current assets and current assets, tangible and intangible assets, current liabilities and non-current liabilities .
- 2.3 Day-books, cash book, journal, general (main, nominal) ledger accounts.
- 3.1 Cash purchase, part exchange, borrowing.
- 3.2 Depreciation, disposal.
- 3.4 Physical assets, data types
- 4.3 Non-current asset at cost account, bank/cash account, loan account.
- 4.5 Balances carried forward.
- 5.4 Balances carried forward.
- 7.6 Income, expense.
- 8.1 Adjustments, debits/credits, items affecting reconciliation.

Types of evidence

Evidence could include:

- Candidate report – 1.1–1.6, 2.1–2.4, 3.1–3.4, 7.1–7.2
- Tutor set task – 3.5, 4.1–4.5, 5.1–5.4, 6.1–6.3, 7.3–7.6, 8.1–8.5

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Unit 12 Prepare final accounts for sole traders and partnerships (Y/504/3911)

Unit summary	The aim of this unit is to equip learners with an understanding of the need for, and process involved in, the preparation of final accounts. The learner will prepare accounting records from incomplete information, produce final accounts for sole traders, and understand the legislative and accounting requirements for partnerships. The learner will also prepare a statement of profit or loss appropriation account and a statement of financial position relating to a partnership.
Credit value	6
Guided learning hours	50
Level	3
Mandatory/optional	Optional

The learner will:

1. Understand the need for, and the process involved in, the preparation of final accounts

The learner can:

- 1.1 Identify reasons for closing off accounts and producing a trial balance
- 1.2 Explain the process and limitations of preparing a set of final accounts from a trial balance
- 1.3 Describe the methods of constructing accounts from incomplete records
- 1.4 Provide reasons for imbalances resulting from incorrect double entries
- 1.5 Provide reasons for incomplete records arising from insufficient data and inconsistencies within the data provided

The learner will:

2. Prepare accounting records from incomplete information

The learner can:

- 2.1 Calculate opening and/or closing capital using incomplete information
- 2.2 Calculate the opening and/or closing cash/bank account balance
- 2.3 Prepare sales and purchase ledger control accounts, using these to correctly calculate sales, purchases and bank figures
- 2.4 Calculate account balances using mark ups and margins

The learner will:

3. Produce final accounts for sole traders

The learner can:

- 3.1 Describe the components of a set of final accounts for a sole trader
- 3.2 Prepare a statement of profit or loss
- 3.3 Prepare a statement of financial position

Unit 12 Prepare final accounts for sole traders and partnerships (Y/504/3911) (cont'd)

The learner will:

4. Understand the legislative and accounting requirements for partnerships

The learner can:

- 4.1 Describe the key components of a partnership agreement
 - 4.2 Describe these key components of partnership accounts:
 - statement of profit or loss
 - partnership appropriation account
 - goodwill
 - partners' current accounts
 - partners' capital accounts
 - statement of financial position
-

The learner will:

5. Prepare a statement of profit or loss appropriation account

The learner can:

- 5.1 Prepare the statement of profit or loss appropriation account for a partnership
 - 5.2 Accurately determine the allocation of profit to partners after allowing for interest on capital, interest on drawings and any salary paid to partner(s)
 - 5.3 Prepare the capital and current accounts for each partner
-

The learner will:

6. Prepare a statement of financial position relating to a partnership

The learner can:

- 6.1 Calculate the closing balances on each partner's capital and current accounts, including drawings
 - 6.2 Prepare a statement of financial position, in compliance with the partnership agreement
-

Unit 12 Prepare final accounts for sole traders and partnerships (Y/504/3911) (cont'd)

Delivery and assessment	
1.2	Profit or loss, statement of financial position, closing inventory.
1.3	Control accounts, gross sales margin, accounting equation.
3.1	Cash purchase, part exchange, borrowing.
3.2	Depreciation, disposal.
4.1	Formal/informal partnerships.
<p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>	
Types of evidence	
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Candidate report – 1.1–1.5, 3.1, 4.1–4.2 • Tutor set task – 2.1–2.4, 3.2–3.3, 5.1–5.3, 6.1–6.2 	

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Unit 13 Costs and revenues (D/504/3912)

Unit summary	This unit will provide learners with an understanding of the nature and role of costing systems within an organisation. The learner will record and analyse cost information, apportioning costs according to organisational requirements, analyse deviations from the budget and report these to management. The learner will also be able to use information gathered from costing systems to assist decision-making.
Credit value	8
Guided learning hours	70
Level	3
Mandatory/optional	Optional

The learner will:

1. Understand the nature and role of costing systems within an organisation

The learner can:

- 1.1 Explain the purpose of internal reporting and providing accurate information to management
- 1.2 Explain the relationship between the various costing systems within an organisation
- 1.3 Identify the responsibility centres, cost centres, profit centres and investment centres within an organisation
- 1.4 Explain the characteristics of different types of cost classifications and their use in costing
- 1.5 Explain the differences between marginal and absorption costing

The learner will:

2. Record and analyse cost information

The learner can:

- 2.1 Record cost information for material, labour and expenses in accordance with the organisation's costing procedures
- 2.2 Analyse cost information for material, labour and expenses in accordance with the organisation's costing procedures
- 2.3 Define the various stages of inventory
- 2.4 Value inventory using these methods:
 - first in first out (FIFO)
 - last in first out (LIFO)
 - weighted average
- 2.5 Describe the behaviour of these costs:
 - fixed
 - variable
 - semi-variable
 - stepped

Unit 13 Costs and revenues (D/504/3912) (cont'd)

2.6 Record cost information using these costing systems:

- job
 - batch
 - unit
 - process
 - service
-

The learner will:

3. Apportion costs according to organisational requirements

The learner can:

- 3.1 Attribute overhead costs to production and service cost centres in accordance with agreed bases of allocation and apportionment:
- direct
 - step down
- 3.2 Calculate overhead absorption rates in accordance with suitable bases of absorption:
- machine hours
 - labour hours
- 3.3 Make adjustments for under or over recovered overhead costs in accordance with established procedures
- 3.4 Review methods of allocation, apportionment and absorption at regular intervals, implementing agreed changes to methods
- 3.5 Communicate with relevant staff to resolve any queries in overhead cost data
-

The learner will:

4. Analyse deviations from budget and report these to management

The learner can:

- 4.1 Compare budget costs with actual costs, noting any variances
- 4.2 Analyse variances for management reports
- 4.3 Provide information for budget holders of any significant variances, making valid suggestions for remedial action
- 4.4 Prepare management reports in an appropriate format, presenting these within the required timescales
-

Unit 13 Costs and revenues (D/504/3912) (cont'd)

The learner will:

5. Be able to use information gathered from costing systems to assist decision-making

The learner can:

- 5.1 Prepare estimates of future income and costs for decision-making using:
- relevant costs
 - break-even analysis
 - margin of safety
 - target profit
 - profit-volume analysis
 - limiting factors
 - payback
 - discounted cash flow
- 5.2 Explain the effect of changing activity levels on unit costs
- 5.3 Calculate the effect of changing activity levels on unit costs
- 5.4 Identify factors affecting short-term and long-term decision-making

Delivery and assessment

There is no delivery and assessment criteria for this learning outcome.

The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.

Types of evidence

Evidence could include:

- Tutor set task –1.1–1.5, 2.1–2.6,–2.6, 3.1–3.5, 4.1–4.4, 5.1–5.4

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

Unit 14 Indirect tax (H/504/3913)

Unit summary	This unit is designed to provide learners with an understanding of VAT regulations and VAT penalties and make adjustments for previous errors. The learner will complete VAT returns accurately and in a timely manner and will be able to communicate VAT information.
Credit value	4
Guided learning hours	30
Level	3
Mandatory/optional	Optional

The learner will:

1. Understand VAT regulations

The learner can:

- 1.1 Identify sources of information on VAT
- 1.2 Explain how an organisation should interact with the relevant government agency
- 1.3 Explain VAT registration requirements
- 1.4 Identify the information that must be included on business documentation of VAT registered businesses
- 1.5 Explain the requirements and the frequency of reporting for these VAT schemes:
 - annual accounting
 - cash accounting
 - flat-rate scheme
 - standard scheme
- 1.6 Maintain an up-to-date knowledge of changes to codes of practice, regulation or legislation

The learner will:

2. Complete VAT returns accurately and in a timely manner

The learner can:

- 2.1 Extract relevant data for a specific period from the accounting system
- 2.2 Calculate relevant inputs and outputs using these VAT classifications:
 - standard supplies
 - exempt supplies
 - zero-rated supplies
 - imports
 - exports
- 2.3 Calculate the VAT due to, or from, the relevant tax authority
- 2.4 Complete and submit a VAT return and any associated payment within the statutory time limits

Unit 14 Indirect tax (H/504/3913) (cont'd)

The learner will:

3. Understand VAT penalties and make adjustments for previous errors

The learner can:

- 3.1 Explain the implications and penalties for an organisation resulting from failure to abide by VAT regulations
- 3.2 Make adjustments and declarations for any errors or omissions identified in previous VAT periods

The learner will:

4. Communicate VAT information

The learner can:

- 4.1 Inform managers of the impact that the VAT payment may have on an organisation's cash flow and financial forecasts
- 4.2 Advise relevant people of changes in VAT legislation which would have an effect on an organisation's recording systems

Delivery and assessment
<p>There is no delivery and assessment criteria for this learning outcome.</p> <p>The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.</p>
Types of evidence
<p>Evidence could include:</p> <ul style="list-style-type: none"> • Tutor set task – 1.1–1.6, 2.1–2.4, 3.1–3.2, 4.1–4.2

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

Unit 15 Professional ethics (K/504/3914)

Unit summary	This unit will equip learners with an understanding of the principles of ethical working, ethical behaviour when working with internal and external customers and also an understanding of when and how to take appropriate action following any suspected breaches of ethical codes. The learner will understand the ethical responsibility of the finance professional in promoting sustainability.
Credit value	4
Guided learning hours	35
Level	3
Mandatory/optional	Optional

The learner will:

1. Understand principles of ethical working

The learner can:

- 1.1 Explain these fundamental principles of ethical behaviour:
 - integrity
 - objectivity
 - professional and technical competence and due care
 - confidentiality
 - professional behaviour
- 1.2 Outline the relevant legal, regulatory and ethical requirements affecting the accounting and finance sector
- 1.3 Explain the role of professional bodies in relation to the accounting and finance sector
- 1.4 Explain why individuals, organisations or industry sectors are expected to operate within codes of conduct and practice
- 1.5 Explain the risks of improper practice to an organisation and the importance of vigilance
- 1.6 Identify opportunities for maintaining an up-to-date knowledge of changes to codes of practice, regulation and legislation affecting the accounting and finance sector

Unit 15 Professional ethics (K/504/3914) (cont'd)

The learner will:

2. Understand ethical behaviour when working with internal and external customers

The learner can:

- 2.1 Explain how to act ethically when working with clients, suppliers, colleagues and others
 - 2.2 Explain the importance of objectivity and maintaining a professional distance between professional duties and personal life at all times
 - 2.3 Explain the importance of adhering to organisational and professional values, codes of practice and regulations
 - 2.4 Explain the importance of adhering to organisational policies for handling clients' monies
 - 2.5 Identify circumstances when confidential information should be disclosed and who is entitled to the information
 - 2.6 Explain the importance of working within the limits and confines of one's own professional experience, knowledge and expertise
-

The learner will:

3. Understand when and how to take appropriate action following any suspected breaches of ethical codes

The learner can:

- 3.1 Identify the relevant authorities and internal departments to which unethical behaviour, breaches of confidentiality, suspected illegal acts or other malpractice should be reported
 - 3.2 Identify the appropriate action to take in instances when requests for work are beyond the employee's competence
 - 3.3 Identify inappropriate client behaviour and how to report it
 - 3.4 Explain the internal and external reporting procedures which should be followed if an employee suspects an employer, colleague or client has committed, or may commit, an act which is believed to be illegal or unethical
 - 3.5 Outline strategies that could be used to prevent ethical conflict
-

The learner will:

4. Understand the ethical responsibility of the finance professional in promoting sustainability

The learner can:

- 4.1 Explain the importance of an ethical approach to sustainability
 - 4.2 Outline the responsibilities of finance professionals in upholding the principles of sustainability
-

Unit 15 Professional ethics (K/504/3914) (cont'd)

Delivery and assessment	
1.2	Civil law, criminal law, compliance, code of practice.
1.5	Types of operational risk, money laundering, terrorist financing, due diligence.
2.1	Professional behaviour, threats to ethical principles, safeguarding.
The Grading criteria glossary of terms (Section 5) explains how the terms used in the unit content are applied to this qualification.	
Types of evidence	
Evidence could include:	
<ul style="list-style-type: none"> • Candidate report – 1.1–1.6, 2.1–2.6, 3.1–3.5, 4.1 	

The types of evidence listed above are for guidance purposes only. Within learners' portfolios, other types of evidence are acceptable if all learning outcomes and assessment criteria are covered and if the evidence generated can be internally and externally quality assured. For approval of methods of internal assessment other than portfolio building, please contact the Quality Assurance team at NCFE.

Section 3

Assessment and quality assurance

Assessment and quality assurance

How the qualification is assessed

Assessment is the process of measuring a learner's skill, knowledge and understanding against the standards set in a qualification.

The Level 3 Diploma in Skills for Business: Finance is internally assessed and externally quality assured.

Unless stated otherwise in this qualification specification, all learners taking this qualification must be assessed in English and all assessment evidence presented for external quality assurance must be in English.

Internal assessment

Each learner must create a portfolio of evidence generated from appropriate assessment tasks which demonstrates achievement of all the learning outcomes associated with each unit. The assessment tasks should allow the learner to respond to a real life situation that they may face when in employment. On completion of each unit learners must declare that the work produced is their own and the Assessor must countersign this. Examples of suitable evidence for the portfolio for each unit are provided in Section 2 (page 15).

Internally assessed work should be completed by the learner in accordance with the Qualification Specification. A representative number of assessment hours should be timetabled into the scheme of work. Internal assessment hours must be administered outside of scheduled teaching and learning hours and should be supervised and assessed by the Tutor. Assessment activities can be integrated throughout, although separate from the teaching of the unit and do not have to take place directly at the end of the unit.

Any work submitted for internal assessment must be completed during scheduled assessment hours in accordance with the scheme of work, and must be authenticated and attributable to the learner. The Tutor must be satisfied that the work produced is the learner's own and the learner must declare that the work is their own.

In practice, this means that all of the portfolio of evidence will be completed in normal class time within scheduled assessment hours and kept separate from any teaching and learning hours.

The internal assessment component is based on 100% coverage of the qualification content which is assessed holistically against descriptors to achieve a grade. Each unit of the qualification is internally assessed and will be allocated a weighting based on the GLH. The grade achieved for each unit is converted to a Uniform Mark Scale (UMS) score.

There is compensation within the internally assessed units as the grading descriptors are now based on learning outcomes rather than specific assessment criteria. This allows for increased professional judgement on the part of the Assessor in terms of the learners' overall level of performance against the learning outcomes.

If a centre chooses to create their own internal assessment tasks, they must:

- be accessible and lead to objective assessment judgements
 - permit and encourage authentic activities where the learner's own work can be clearly judged
 - refer to the 'Internal Assessment Tasks: Guidance for Centres' document on the NCFE website.
-

Supervision of learners and your role as an Assessor

Guidance on how to administer the internal assessment and the support you provide to learners can be found on the NCFE website.

Feedback to learners

Guidance on providing feedback during teaching and learning and each stage of the assessment can be found on the NCFE website.

Presenting evidence

Written

Written evidence may be presented in word-processed or handwritten form. Audio-visual content (videos, pictures, drawings, audio) may also be used.

Tables, graphs and spreadsheets may be produced using appropriate ICT.

Any copied material must be suitably acknowledged, and quotations must be clearly marked and a reference provided wherever possible to ensure that learner work can be authenticated.

Recorded

Where audio-visual evidence of multiple learners is used, centres must ensure that each learner being assessed is clearly visible and can be identified by the Quality Assurer.

The recorded evidence should allow the learner to demonstrate the learning outcomes clearly but should only show work relevant to what is being assessed. For example, if a performance/participation is done as part of a group, the Quality Assurer will need to be able to see how each learner being assessed has contributed and met the learning outcomes.

To help our Quality Assurers to identify clearly when a particular learner is performing/participating, we'd recommend including the following information:

- the exact start and finish times so that the Quality Assurer can go straight to that spot on the tape/recording
- a running order list and a description of each learner
- information about where the performance/recorded evidence took place
- what type of audience they were performing to (if applicable).

Centres must also ensure that the camera and microphone are set up in a suitable place to ensure good-quality audio. This will allow the Quality Assurer to hear both the learner(s) and the Assessor (if applicable).

We have set out an example used for a performance:

Test High School

Recorded evidence: starts 4 mins 30 seconds into the recording and finishes at 16 mins 27 seconds.

Venue: School hall

Audience: Assessors, parents and friends

Band 1:

Lead singer – James Doyle (blonde hair, front of stage)

Drummer – Diana Nisbett

Guitar 1 – Deepak Lahiri (black hair, blue jumper)

Guitar 2 – Deb Antani (brown hair, left hand side)

Performance of XXX:

Lead male – Su Jin

Lead female – Maya Solomon

Choir:

Caterina Petracci (black hair, back row 3rd from left)

Leonard Kalymniou (brown hair, back row 5th from left)

Luke Falconer (blonde hair, front row 3rd from right)

If learners are not clearly identified, NCFE may not be able to quality assure or examine the work.

Late submissions

Tutors and Assessors should encourage learners to understand the importance of deadlines and when they need to submit their internal assessments. Assessors do not have to accept late work and may refuse it.

Learners may only be given extra time for legitimate reasons such as illness. If you accept a late submission, you should follow the usual assessment process.

Grades should not be reduced as a result of late submission.

Submitting unit grades

Each internally assessed unit within the portfolio of evidence must be assessed and graded by Assessors in the centre.

A reasonable sample of portfolios must then be checked by an Internal Quality Assurer to ensure consistency with national standards. See the NCFE website for further information on sampling.

Learners may revise and redraft work up until it's submitted to the Assessor for end-of-unit assessment and grading. Once the work has been assessed, graded and internally quality assured, the grades should be submitted to NCFE. This will be classed as the first attempt. Submitted grades for the first unit(s) of the qualification will trigger your first external quality assurance visit.

Following the external quality assurance visit, the unit grades will either be accepted and banked by your External Quality Assurer or, if they disagree with the grades, they will be rejected. If the grades are rejected, the work cannot be given back to the learner. If a grade is rejected, centres must reassess, re-grade and internally quality assure the work, and resubmit the new unit grade.

Once the grades for the internally assessed units of the qualification have been accepted and banked by your External Quality Assurer, learners are permitted one opportunity to revise and redraft their work. The additional work will need to be assessed, graded and internally quality assured again, and the centre will be required to resubmit the updated grade to NCFE for further external quality assurance. Learners are only permitted one resubmission of internally assessed work.

Why would the unit grades be rejected by an External Quality Assurer?

This would occur if the External Quality Assurer did not agree with the grades the centre had submitted. It may be that the centre had been grading too harshly, too leniently, or inconsistently from one learner to the next. In this situation, the centre would be required to assess, grade and internally quality assure all learners' work again.

Quality assurance

Internal quality assurance

Internal quality assurance is the process of ensuring that everyone who assesses a particular unit in a centre is assessing to the same standards. It's the responsibility of Internal Quality Assurers to ensure that Assessors' decisions are sampled and monitored to ensure consistency and fairness. Internal Quality Assurers are also responsible for supporting Assessors by offering advice and guidance.

The Internal Quality Assurer will follow the centre's own sampling strategy in selecting the sample to be internally quality assured. See the guidance on sampling on the NCFE website.

The Internal Quality Assurer provides the vital link between the Assessors and the External Quality Assurer and acts as the centre's quality assurance agent.

External quality assurance

External quality assurance of internal assessments is carried out at least once a year to ensure that assessment and grading decisions are in line with required standards. External quality assurance is carried out by External Quality Assurers who are appointed, trained and monitored by NCFE. External Quality Assurers are responsible for monitoring and sampling learners' evidence to ensure that internal assessment decisions are valid, reliable, fair and consistent with national standards. Centres are notified of their External Quality Assurer's contact details on registration of learners with NCFE.

Section 4

Grading information

Grading information

Grading has been introduced to make sure that this qualification rewards learners with a suitable grade to reflect their achievement in this subject. NCFE has developed a robust grading structure that can be applied to all its graded qualifications fairly and consistently.

Please note that only units from Group A of this qualification are graded. Group B units are not graded.

Each unit in Group A of this qualification is graded using a structure of Not Yet Achieved, Pass, Merit and Distinction.

The grading descriptors for each unit have been included in this Qualification Specification. Grading descriptors have been written for each learning outcome in a unit. Assessors must be confident that, as a minimum, all learning outcomes have been evidenced and met by the learner. Assessors must make a judgement on the evidence produced by the learner to determine the grading decision for the unit. We've provided a grading criteria glossary of terms to help you to make this judgement – see Section 5 (page 103).

Once Assessors are confident that all the Pass descriptors have been met, they can move on to decide if the Merit descriptors have been met. If the Assessor is confident that all the Merit descriptors have been met, they can decide if the Distinction descriptors have been met. As the grading descriptors build up from the previous grade's criteria, the evidence must meet 100% of the grade's descriptors to be awarded that grade for the unit.

If the learner has insufficient evidence to meet the Pass criteria, a grade of Not Yet Achieved must be awarded for the unit.

Centres must then submit each unit grade to NCFE. The grades submitted to NCFE will be checked and confirmed through the external quality assurance process. This is known as 'banking' units.

The internal assessment component is based on performance of open-ended tasks which are assessed holistically against the grading descriptors to achieve a grade. Each unit of the qualification is internally assessed and will be allocated a weighting based on the GLH and a score based on the holistic grade. The overall grade achieved for each unit is converted to a UMS score. The UMS score for each unit is then combined and converted into an overall qualification grade.

There is compensation within the internally assessed units as the grading descriptors are now based on learning outcomes rather than specific assessment criteria. All of the assessment points need to be evidenced in the learner's portfolio, but the grade awarded is based on the standard of work for the learning outcome as a whole. This allows for increased professional judgement on the part of the Assessor in terms of the learner's overall level of performance against the learning outcomes.

External quality assurance (CACHE and NCFE graded qualifications)

Summatively assessed and internally quality assured grades for at least one completed unit must be submitted via the Portal, prior to an EQA review taking place. Following the EQA review, the unit grades will either be accepted and banked by your External Quality Assurer or, if they disagree with the grades, they will be rejected. If a grade is rejected, centres must reassess, regrade, internally quality assure and resubmit the new unit grade in line with EQA actions.

Awarding the final grade

The final qualification grade is calculated by combining the UMS scores for each unit. The total UMS will then be converted into a grade based on the following fixed thresholds:

	Max	P	M	D	D*
UMS	400	240	280	320	360

The final grade for the qualification is based on a structure of Not Yet Achieved, Pass, Merit, Distinction and Distinction*.

The final grade will be issued to the centre by NCFE and will be awarded as Not Yet Achieved, Pass, Merit, Distinction or Distinction*.

The table below shows how the accumulation of each unit grade is aggregated to form the overall qualification grade.

Unit assessment grade						Final qualification grade
P	P	P	P	P	P	P
M	M	M	M	M	M	M
D	D	D	D	D	D	D*
P	P	P	P	P	M	P
P	P	P	P	P	D	P
P	M	M	M	M	M	M
M	M	M	M	M	D	M
P	D	D	D	D	D	D
M	D	D	D	D	D	D
P	P	P	P	M	M	P
P	P	P	P	D	D	M
P	P	M	M	M	M	M
M	M	M	M	D	D	M
P	P	D	D	D	D	M
M	M	D	D	D	D	D
P	P	P	P	M	D	P
P	M	M	M	M	D	M
P	M	D	D	D	D	M
P	P	P	M	M	M	M
P	P	P	D	D	D	M
M	M	M	D	D	D	D
P	P	P	M	M	D	M
P	P	P	M	D	D	M
P	P	M	M	M	D	M
P	M	M	M	D	D	M
P	P	M	D	D	D	M
P	M	M	D	D	D	M
P	P	M	M	D	D	M

Overall qualification grading descriptors

Not Yet Achieved
The candidate will not have met all the assessment criteria and will not have enough work or evidence of progress available to allow a valid judgement to be made.
Pass
To achieve a Pass grade, the candidate will be able to meet all the requirements as set out in the assessment criteria for each unit. The candidate will make some effort to apply knowledge, and will have a basic understanding of key concepts but may not be able to make links between them. The candidate will have a general understanding of processes, resources, techniques and materials but there may be some minor errors or one major error in application. The candidate's evidence will show some degree of planning, organisational and investigatory skills but may be lacking in structure. The candidate will have shown that they can complete the tasks to the minimum standard.
Merit
To achieve a Merit grade, the candidate will be able to meet all the requirements as set out in the assessment criteria for each unit to a good standard. The candidate will demonstrate a confident level of ability in their application of knowledge and skills and will have a clear understanding of key concepts, making some links between them and giving reasons for their choices. The candidate will have a clear understanding of processes, resources, techniques and materials with few errors in application. The candidate's evidence will show planning, organisational and investigatory skills in a clear and logical way. The candidate will have been able to complete the tasks in a manner exceeding the minimum standard.
Distinction
To achieve a Distinction grade, the candidate will be able to meet all the requirements as set out in the assessment criteria for each unit to a high standard. The candidate will typically demonstrate mastery of appropriate processes, resources, techniques and materials. The candidate will demonstrate an ability to undertake relevant and wide-ranging research, analysing and evaluating information to make informed judgements. The candidate will have a detailed understanding of processes, resources, techniques and materials, showing independent ideas expressed with confidence and originality. The candidate's evidence will make cross-curricular connections showing planning, organisational and investigatory skills in a well-structured and thorough way. The candidate will have shown a high degree of motivation, ability and commitment and will have been able to complete the tasks effectively in a manner far exceeding the minimum standard.
Distinction*
The candidate will have achieved a Distinction grade for all units of the qualification, demonstrating consistent work at the level of the qualification.

Whilst NCFE does not anticipate any changes to our aggregation methods or any overall grade thresholds, there may be exceptional circumstances in which it is necessary to do so to secure the maintenance of standards over time. Therefore, overall grade thresholds published within this qualification specification may be subject to change.

Section 5

Grading criteria glossary of terms

Grading criteria glossary of terms

These tables have been provided as an aid to help you grade learners' assessments. These are generic terms used across the Level 3 qualifications and may not all appear in this Qualification Specification. Non-graded words can be used across all grades but must be accompanied with a grade-specific word.

Pass	
Advanced	Developed, refined and comprehensive
Analyse	Study or examine
Apply	Put into action in a relevant context
Appropriate	Relevant to the purpose or task
Clearly	Logically and without possibility of misunderstanding
Coherent	Logically connected
Critically compare	Examine and relate in order to give a judgement
Competent	Having the skill, knowledge and ability to complete a task to a given standard
Concise	Clear and succinct
Consider	Reflect or judge
Contrast	Identify differences
Critical understanding	The ability to deconstruct, analyse and evaluate, and express opinion
Distinguish	Identify differences
Effectively	To achieve a satisfactory solution
Evaluate	Make a qualitative judgement taking into account different factors and using available knowledge/experience. Assess
Explain	Provide details or reasons
Explore	Search and investigate
Interpret	Translate or find meaning
Justify	Give reasons or evidence to support an opinion
Modify	Make changes
Realistic	Relevant and in context
Review	Consider or look at
Summarise	Make a brief account of the points

Grading criteria glossary of terms (cont'd)

Merit	
Assess	Examine in order to judge or review
Comprehensive	All-encompassing
Confidently	With certainty in own ability
Continually review	Regularly consider or look at
Convincing	Persuasive and credible
Creativity	Originality, imaginatively expressed
Critical judgement	Application of a critical understanding informing decisions
Detailed	Thorough and in-depth
Experimentation	Trial of different methods and techniques
Fluently	Smoothly flowing and without apparent effort
Initiative	The ability to work without external direction
Insight	Intuitive perception
Inventive	Having creativity borne of original thought
Perceptively	Showing insight and understanding
Predict	Use evidence or experience to say what will happen
Propose	Put forward an idea
Skilfully	In a manner underpinned by technical knowledge and a degree of mastery
Thorough	Completed fully, in some detail

Distinction	
Continually review and revise	Regularly consider or look at in order to make relevant amendments
Critically analyse	Study or examine to make a judgement
Draw conclusions	Make a judgement or decision based on research or analysis
Formulate	Draw up carefully and in detail
Originality	Ability to think or express oneself in an independent and individual manner
Sophisticated	Developed, refined, advanced
Synthesise	Create or combine to produce a more complex product
Validate	Confirm or prove legitimacy or accuracy

Non-graded	
Accurately	In a manner which provides a correct reading or measurement – deviating only slightly
Demonstrate	Explain or describe through actions
Range of	A variety, an assortment
Reflect	Review and evaluate
Relate	Link or establish connections
Sufficient	Adequate for the purpose; enough to meet a need or purpose
Technical skill	Technique requiring understanding and ability to produce work of a good-quality standard
Various	Of different kinds, several, many

Section 6

General information

General information

Equal opportunities

NCFE fully supports the principle of equal opportunities and opposes all unlawful or unfair discrimination on the grounds of ability, age, colour, culture, disability, domestic circumstances, employment status, gender, marital status, nationality, political orientation, racial origin, religious beliefs, sexual orientation and social background. NCFE aims to ensure that equality of opportunity is promoted and that unlawful or unfair discrimination, whether direct or indirect, is eliminated both in its own employment practices and in access to its qualifications. A copy of NCFE's Equal Opportunities Policy is available on request.

Diversity, access and inclusion

Our qualifications and associated assessments are designed to be accessible, inclusive and non-discriminatory. NCFE regularly evaluates and monitors the 6 diversity strands (gender, age, race, disability, religion, sexual orientation) throughout the development process as well as throughout the delivery, external quality assurance and external assessment processes of live qualifications. This ensures that positive attitudes and good relations are promoted, discriminatory language is not used and our assessment procedures are fully inclusive.

Learners who require reasonable adjustments or special consideration should discuss their requirements with their Tutor, who should refer to our Reasonable Adjustments and Special Considerations Policy for guidance.

For more information on the Reasonable Adjustments and Special Considerations Policy, please see the NCFE website.

Contact us

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